

Annexure C

Complaints against SBI-SG Global Securities Services Pvt. Ltd. (DP)

Data for the Month ending Aug 2022 -

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | Average Resolution time^ (in days) |
|----|------------------------------|---|------------------------------------|------------------|-----------|---|---|
| | | | | | | Pending Pending for less for than 3 more months than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints for the Financial Year

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|-------------|---|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April 2022 | 0 | 0 | 0 | 0 |
| 2 | May 2022 | 0 | 0 | 0 | 0 |
| 3 | Jun 2022 | 0 | 0 | 0 | 0 |
| 4 | Jul 2022 | 0 | 0 | 0 | 0 |
| 5 | Aug 2022 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

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*Should include complaints of previous months resolved in the current month, if any

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

| SN | Year | Carried forward | Received | Resolved | Pending at | |
|----|-------------|-----------------|------------|------------|----------------|--|
| | | from previous | during the | during the | the end of the | |
| | | year | year | year | year | |
| 1 | 2020-21 | 0 | 0 | 0 | 0 | |
| 2 | 2021-22 | 0 | 0 | 0 | 0 | |
| 3 | 2022-23 | 0 | 0 | 0 | 0 | |
| | Grand Total | 0 | 0 | 0 | 0 | |

Trend of Annual (FY) disposal of complaints (For 3 years on rolling basis)-

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